

# FY 2012 - 13 Proposed Budget and Multi-Year Capital Plan

## Commission on Ethics and Public Trust

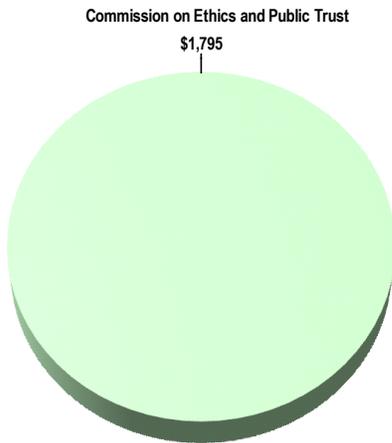
The Commission on Ethics and Public Trust (Ethics Commission) is an independent agency with advisory and quasi-judicial powers. The purpose of the Ethics Commission is to promote and enforce high standards of ethical conduct in government and to build and maintain confidence in public servants.

As part of the General Government strategic area, the Ethics Commission is dedicated to restoring public trust in the administration of government by informing the public and private sector about the Conflict of Interest and Code of Ethics laws and by seeking strict compliance with these laws. The Ethics Commission is authorized to investigate complaints and render advisory opinions related to the following County or municipal ordinances: Code of Ethics and Conflict of Interest, Lobbyist Registration and Reporting, Citizens' Bill of Rights, Ethical Campaign Practices, and Whistleblowing. Community outreach and educational programs are also crucial components of the Ethics Commission's mission. The Ethics Commission hosts a wide array of programs to educate the public on issues concerning ethics, good governance, and accountability through town hall meetings, panel discussions, and training workshops, as well as local and national conferences and forums.

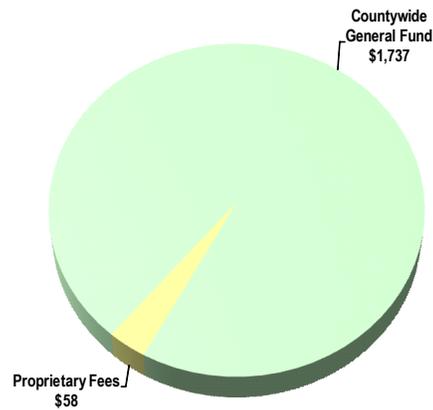
The Ethics Commission, by Board ordinance, has jurisdiction extending to municipalities within Miami-Dade County. Its jurisdiction also extends to certain lobbyists, contractors, and vendors.

### FY 2012-13 Proposed Budget

**Expenditures by Activity**  
(dollars in thousands)



**Revenues by Source**  
(dollars in thousands)



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### TABLE OF ORGANIZATION

<b>OFFICE OF THE EXECUTIVE DIRECTOR</b>					
<ul style="list-style-type: none"> <li>• Provides administrative support to the Ethics Commission; recommends legislative and policy initiatives that promote ethical government and accountability; reviews ethics opinions recommended by the legal unit; supervises and participates in ethics training programs for public officials, employees, and candidates for elected office</li> <li>• Provides training for government officials and personnel, candidates for office, students, and the business community regarding ordinances under the purview of the Ethics Commission and ethical practices in government</li> <li>• Responds to requests for advisory opinions and handles legal matters that may impact the operations of the Ethics Commission</li> <li>• Conducts investigations of official/employee misconduct in County and municipal governments</li> </ul>	<table style="width: 100%; border: none;"> <tr> <td style="text-align: center;"><u>FY 11-12</u></td> <td style="text-align: center;"><u>FY 12-13</u></td> </tr> <tr> <td style="text-align: center;">14</td> <td style="text-align: center;">14</td> </tr> </table>	<u>FY 11-12</u>	<u>FY 12-13</u>	14	14
<u>FY 11-12</u>	<u>FY 12-13</u>				
14	14				

### FINANCIAL SUMMARY

(dollars in thousands)	Actual FY 09-10	Actual FY 10-11	Budget FY 11-12	Proposed FY 12-13
<b>Revenue Summary</b>				
General Fund Countywide	1,788	2,029	1,707	1,737
Lobbyist Trust Fund	25	10	38	38
Carryover	301	0	0	0
Fees and Charges	0	0	30	20
Total Revenues	2,114	2,039	1,775	1,795

(dollars in thousands)	Total Funding		Total Positions	
Expenditure By Program	Budget FY 11-12	Proposed FY 12-13	Budget FY 11-12	Proposed FY 12-13
<b>Strategic Area: General Government</b>				
Commission on Ethics and Public Trust	1,775	1,795	14	14
Total Operating Expenditures	1,775	1,795	14	14

<b>Operating Expenditures Summary</b>				
(dollars in thousands)	Actual FY 09-10	Actual FY 10-11	Budget FY 11-12	Proposed FY 12-13
Salary	1,564	1,529	1,359	1,351
Fringe Benefits	390	376	250	264
Court Costs	0	0	0	0
Contractual Services	11	10	10	10
Other Operating	141	91	145	156
Charges for County Services	3	29	4	10
Capital	5	4	7	4
Total Operating Expenditures	2,114	2,039	1,775	1,795

<b>Non-Operating Expenditures Summary</b>				
(dollars in thousands)	Actual FY 09-10	Actual FY 10-11	Budget FY 11-12	Proposed FY 12-13
Transfers	0	0	0	0
Distribution of Funds In Trust	0	0	0	0
Debt Service	0	0	0	0
Depreciation, Amortizations and Depletion	0	0	0	0
Reserve	0	0	0	0
Total Non-Operating Expenditures	0	0	0	0

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### SELECTED ITEM HIGHLIGHTS AND DETAILS

Line Item Highlights	(dollars in thousands)				
	Actual FY 09-10	Actual FY 10-11	Budget FY 11-12	Projection FY 11-12	Proposed FY 12-13
Advertising	3	3	4	4	4
Fuel	0	0	0	2	2
Overtime	0	0	0	0	0
Rent	86	86	89	91	91
Security Services	0	1	1	0	1
Temporary Services	0	0	0	0	0
Travel and Registration	0	-3	2	2	3
Utilities	0	0	0	0	0

### **DIVISION: COMMISSION ON ETHICS AND PUBLIC TRUST**

The Commission on Ethics and Public Trust promotes and enforces high standards of ethical conduct in government and builds and maintains confidence in public servants

- Recommends legislative and policy initiatives that promote ethical government and accountability; liaises with the community through outreach activities, including speeches, media events, reports, and publications
- Tries cases before the Ethics Commission and refers cases for criminal prosecution or other disposition(s) with appropriate agencies
- Responds to requests for advisory opinions by officials, employees, and contractors under the authority of the Ethics Commission
- Conducts investigations of official and/or employee misconduct in County and municipal governments and processes complaints that are filed by the general public to be heard by the Ethics Commission
- Provides training for government officials and personnel, candidates for office, students, and the business community regarding ordinances under the purview of the Ethics Commission and ethical practices in government

### **Strategic Objectives - Measures**

- GG1-3: Foster a positive image of County government

Objectives	Measures			FY 09-10	FY 10-11	FY 11-12	FY 11-12	FY 12-13
				Actual	Actual	Budget	Projection	Target
Improve the image of County Government	Number of complaints filed*	IN	↔	177	34	36	50	50
	Number of requests for opinions and inquiries filed	IN	↔	332	274	249	357	350
	Number of investigations handled	OP	↔	208	157	165	244	245
	Ethics trainings and workshops	OP	↔	416	415	436	462	485
	Number of Lobbyist Appeals	IN	↔	N/A	38	40	34	35

\* The FY 2009-10 Actual includes lobbyist appeals; FY 2010-11 eliminates lobbyist appeals from the performance measure

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### ADDITIONAL INFORMATION

- The Ethics Commission will continue to pursue legislative changes to strengthen County ordinances and rules to promote greater accountability and transparency
- In FY 2012-13, the Ethics Commission will continue to hold workshops for both County and municipal board members and executives of non-profit agencies receiving funding, as well as students, and candidates for elected office
- In FY 2011-12, the Miami-Dade County Board of County Commissioners adopted Ordinance 12-10 which requires all County lobbyists to receive ethics training every two years
- In FY 2012-13, the Ethics Commission will begin working with County departments to revitalize the ethics officers' concept to provide greater in-house ethics presence and oversight
- As required by Ordinance 12-11, approved by the Board of County Commissioners on March 6, 2012, the Department is currently working on a plan to provide refreshed ethics training to all County employees; \$50,000 is budgeted in FY 2011-12 to purchase an online ethics training course